

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Walter W. Collins

Application No.

09/680,697

Filed

October 6, 2000

For

FOLDING KNIFE WITH ACTUATABLE SAFETY LOCKING

MECHANISM

Examiner

: Clark F. Dexter

Art Unit

: 3724

Docket No.

: 530055.413R1

Date

October 25, 2005

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents:

In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicant wishes to make known to the U.S. Patent and Trademark Office the references set forth on the attached Information Disclosure Statement. Copies of the cited U.S. patents and published patent applications are not required and accordingly have not been provided. Copies of all other cited references are enclosed. As to any reference cited, applicant does not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicant believes that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

10/28/2005 HLE333 00000018 09680697

01 FC:1806

180.00 DP

A fee of \$180 is submitted in accordance with 37 CFR 1.97(c). The Director is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 19-1090.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

Harold H. Bennett II Registration No. 52,404

HHB:jto Enclosures:

Postcard
Check
Information Disclosure Statement
Cited References (6)

701 Fifth Avenue, Suite 6300 Seattle, Washington 98104-7092

Phone: (206) 622-4900 Fax: (206) 682-6031

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Sheet <u>1</u> of <u>6</u>

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. APPLICATION NO. 530055.413R1 09/680,697

APPLICANT

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Walter W. Collins

FILING DATE GROUP ART UNIT

October 6, 2002 3724

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	23,975	05/10/1859	Belcher			
	AB	57,902	09/11/1866	Hibbard			
	AC	338,251	03/23/1886	Crandall et al.			
	AD	382,967	05/15/1888	Fullerton			
	AE	530,792	12/11/1894	Nordlow			
	AF	551,052	12/10/1895	Waldron et al.			
	AG	552,928	01/14/1896	Russell			
	AH	557,760	04/07/1896	Brauer			
	AI	577,593	02/23/1897	Bronson et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT	DATE	COUNTRY	TRANS	LATION
		NUMBER	DATE	COUNTRI	YES	NO
	AJ	1 104 386	04/06/61	DE		X
	AK	0 230 000	07/29/87	EP		X
<i>-</i>	AL	3-227601	10/08/91	JP		X
	AM	4-030979	02/03/92	JP		X
	AN	5-185381	07/27/93	JP		X
	AO	2 705 606	12/02/94	FR		X

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER		DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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Date: October 25, 2005

Sheet $\underline{2}$ of $\underline{6}$

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ATTY. DOCKET NO. APPLICATION NO. 530055.413R1 09/680,697

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October 6, 2002 3724

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	ВА	600,442	03/08/1898	Nell			
	ВВ	749,230	01/12/04	Severance			
	вс	825,976	07/17/06	Neiglick			
	BD	845,792	03/05/07	Jenkins			
	BE	969,909	09/13/10	Schrade et al.			
	BF	777,358	12/13/04	Weck			
	BG	1,057,525	03/13/12	Bruecker			
	вн	1,189,005	06/27/16	Seely			
	ВІ	1,357,398	11/02/20	Haywood			
	ВЈ	1,412,373	04/11/22	Shields			
	вк	1,417,872	05/30/22	Wall			
	BL	1,454,665	05/08/23	Bobek			
	ВМ	1,496,188	06/03/24	Wall			
	BN	1,515,688	11/18/24	Love			
	во	1,584,165	05/11/26	Brown			

FOREIGN PATENT DOCUMENTS

	DOCUMENT DATE	COUNTRY	TRANSLATION		
	NUMBER			YES	NO
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER	DATE CONSIDERED

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

530055.413R1 **APPLICANT**

Walter W. Collins

ATTY. DOCKET NO.

APPLICATION NO. 09/680,697

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

FILING DATE **GROUP ART UNIT** October 6, 2002 3724

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CA	1,614,949	01/18/27	Finley			
	СВ	1,738,496	12/03/29	Laux			
	СС	1,810,031	06/16/31	Schrade			
	CD	2,284,168	05/26/42	Rickenbacher	30	162	
	CE	2,455,765	12/07/48	Harvey	248	360	
	CF	2,304,601	12/08/42	Schrade	30	159	
	CG	2,569,080	09/25/51	Trimble et al.	30	320	
	СН	2,596,294	05/13/52	Schrade	30	161	
	CI	5,467,256	11/14/95	Chen	362	119	
	CJ	3,079,784	03/05/63	Pavioski	70	456	
	СК	3,404,456	10/8/68	Chilko	30	155	
	CL	4,040,181	08/09/77	Johnson	30	161	
	СМ	4,133,106	01/09/79	Addis	30	160	
	CN	4,148,140	04/10/79	Lile	30	161	
-	со	4,173,068	11/06/79	Cargill	30	161	
	СР	4,211,003	07/08/80	Collins	30	162	

FOREIGN PATENT DOCUMENTS

	DOCUMENT	DATE	COUNTRY	TRANS	
	NUMBER	DATE.	COUNTRY	YES	NO
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Date: October 25, 2005

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. APPLICATION NO. 530055.413R1 09/680,697

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(Use several sheets if necessary)

Walter W. Collins

FILING DATE GROUP ART UNIT

October 6, 2002 3724

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	DA	4,218,819	08/26/80	Phelps	30	157	
	DB	4,240,201	12/23/80	Sawby et al.	30	161	
	DC	4,268,960	05/26/81	Reinschreiber	30	161	
	DD	4,274,200	06/23/81	Coder	30	161	
	DE	4,322,885	04/06/82	Osada	30	162	
	DF	4,347,665	09/07/82	Glesser	30	161	
<u>.</u>	DG	4,389,775	07/22/81	Collins	30	156	
	DH	4,404,748	09/20/83	Wiethoff	30	161	
	DI	4,426,779	01/24/84	Morgan	30	138	
	DJ	4,439,922	04/03/84	Sassano	30	161	·
	DK	4,442,600	04/17/84	Felix-Dalichow	30	161	
	DL	4,466,561	08/21/84	Slaughter	224	163	
	DM	4,481,712	11/13/84	Phelps	30	151	
	DN	4,494,309	01/22/85	Gray	30	151	
	DO	4,494,310	01/22/85	Slaughter	30	155	
	DP	4,502,221	03/05/85	Pittman	30	160	
- 	DQ	4,525,928	07/02/85	Foster	30	158	

FOREIGN PATENT DOCUMENTS

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Sheet 5 of 6

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ATTY. DOCKET NO.	APPLICATION NO.
530055.413R1	09/680,697
APPLICANT	
Walter W. Collins	
FILING DATE	GROUP ART UNIT
October 6, 2002	3724

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	EA	4,529,111	07/16/85	Hayakawa	224	163	
	EB	4,541,556	09/17/85	Collins et al.	224	196	
	EC	4,561,577	12/31/85	Moore	224	232	
	ED	4,570,341	02/18/86	Konneker	30	161	
	EE	4,660,133	07/15/86	Maihos	224	232	
	EF	4,670,984	06/09/87	Rickard	30	161	
	EG	4,719,700	01/19/88	Taylor, Jr.	30	158	
	ЕН	4,741,106	05/03/88	Yamagishi	30	161	
 .	El	4,805,819	02/21/89	Collins	224	232	
	EJ	4,805,303	02/21/89	Gibbs	30	161	
	EK	4,811,486	03/14/89	Cunningham	30	161	
	EL	4,848,000	07/18/89	O'Dell	30	158	
	EM	4,893,409	01/16/90	Poehlmann	30	161	
	EN	4,896,424	01/30/90	Walker	30	349	
	ЕО	4,909,424	03/20/90	Reynolds	224	232	
·	EP	4,947,552	08/14/90	Barnes	30	161	
	EQ	4,979,301	12/25/90	Walker	30	161	

FOREIGN PATENT DOCUMENTS

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conformance and not considered. Include copy of this form with next communication to applicant(s).

Sheet 6 of 6

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INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO.	APPLICATION NO.	
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October 6, 2002	3724	

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	FA	5,044,079	05/07/90	Gibbs	30	160	
	FB	5,092,045	03/03/92	Boyd, Jr. et al.	30	161	
	FC	5,123,167	06/23/92	Kelley	30	151	
	FD	5,217,150	06/08/93	Chen	224	163	
	FE	5,217,151	06/08/93	Parsons	224	253	
	FF	5,331,741	07/26/94	Taylor, Jr.	30	158	
	FG	5,349,753	09/27/94	Gaffney	30	155	
	FH	5,361,497	11/08/94	Crawford	30	155	
	FI	5,425,175	06/20/95	Rogers	30	161	
	FJ	5,450,670	09/19/95	Sakai	30	298.4	
	FK	5,461,786	10/31/95	Miller	30	161	
	FL	D137,408	06/17/90	Herrick			
	FM	D333,251	02/16/93	Glesser	D8	99	
	FN	D338,386	08/17/93	Frazer	D8	105	
·	FO	D345,289	03/22/94	Sakai	D8	99	
	FP	D345,688	04/05/94	McLean et al.	D8 .	356	
	FQ	D365,266	12/29/94	Hasegawa	D8	99	

FOREIGN PATENT DOCUMENTS

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EXPRESS MAIL NO. EV718247057US

3724#

TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	09/680,697	
Filing Date	October 6, 2000	
First Named Inventor	Water W. Collins	
Art Unit	3724	
Examiner Name	Clark F. Dexter	
Attorney Docket No.	530055.413R1	

Fee Transmittal Form Drawing(s) After Allowance Request for Corrected Filing Communication	ENCLOSURES (check all that apply)							
Amendment/Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement and Transmittal Cited References Certified Copy of Priority Document(s) Response to Missing Parts under 37 CFR 1.52 or 1.53 Response to Missing Parts/Incomplete Application Receipt Licensing-related Papers Petition Power of Attorney, Revocation, Change of Correspondence Address Declaration Statement under 37 CFR 3.73(b) Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD	to TC nication to ls and nication to ice, Brief, mation Postcard							
Remarks								
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm Name Seed Intellectual Property Law Group PLLC Customer Nu 0050	_							
Printed Name Harold H. Bennett II	•							
Date October 25, 2005 Reg. No. 52,404								
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or with the United States Postal Service with sufficient postage as first class mail in an envelopment of the USPTO of with the United States Postal Service with sufficient postage as first class mail in an envelopment of the USPTO of with the United States Postal Service with sufficient postage as first class mail in an envelopment of the USPTO of with the United States Postal Service with sufficient postage as first class mail in an envelopment of the USPTO of with the United States Postal Service with sufficient postage as first class mail in an envelopment of the USPTO of with the United States Postal Service with sufficient postage as first class mail in an envelopment of the USPTO of with the United States Postal Service with sufficient postage as first class mail in an envelopment of the USPTO of t	n the date							

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EXPRESS MAIL NO. EV718247057US Effective on 12/08/2004. Complete if Known Feet pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818). **Application Number** 09/680,697 FEE TRANSMITTAL OCT 2 5 2005 Filing Date October 6, 2000 TOF FI AMOUNT OF PAYMENT (\$)180 First Named Inventor Walter W. Collins **Examiner Name** Clark F. Dexter Art Unit 3724 Attorney Docket No. 530055.413R1 | Credit Card Money Order Other (please identify): Check **Deposit Account** Deposit Account Number: 19-1090 Deposit Account Name: Seed IP Law Group PLLC For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments Charge any underpayments or credit any overpayments of fee(s) under 37 CFR 1.16 and 1.17 Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION** FILING FEES SEARCH FEES **FEES Small Small Entity Small Entity Entity Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Utility 300 150 500 200 250 100 Design 200 100 130 100 50 65 **Provisional** 200 100 0 0 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee Description Fee (\$) Fee (\$) Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 **Total Claims Extra Claims** Fee Paid (\$) **Multiple Dependent Claims** Fee (\$) -20 or HP =X Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20 Indep. Claims **Extra Claims** Fee (\$) Fee Paid (\$) -3 or HP = X HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)) the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). **Total Sheets Extra Sheets** Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) -100 = /50 = (round up to a whole number) X 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): <u>Information Disclosure Statement Fee</u> <u> 180</u>

Registration No.

(Attorney/Agent)

52,404

Telephone

Date

206-622-4900

October 25, 2005

Signature

SUBMITTED BY

Name (Print/Type)

Harold H. Bennett II